

Dear Sir/Madam,

We, Bank SinoPac Co., Ltd (“Bank SinoPac”, “we” or “us”), understood that the Markets in Financial Instruments Directive 2014/65/EU (commonly referred to as ‘MiFID II’) and the Markets in Financial Instruments Regulation 600/2014 (“MiFIR”) (together “MiFID II”) will come into effect on 3 January 2018. As a bank incorporated outside European Union (“EU”) with no branches or subsidiaries within EU, we are not directly subject to MiFID II. In order to assist in conducting business with you, we would like to provide via this letter our consents and information that you may wish to acquire from us for you to comply with relevant regulatory requirements under MiFID II.

In the event that you and Bank SinoPac have entered into separate or additional market standard agreements (for example an ISDA Master Agreement in respect of derivatives), to the extent permitted by any applicable law, the terms and conditions of that agreement shall continue to govern all of the relationship between you and us.

1. Legal Entity Identifier (LEI)

The LEI for [Entity] is __549300MTLEDCCBA8DW32__.

2. Categorisation under MiFID II

We understood that you are obliged to classify your client as a retail client, a professional client or an Eligible Counterparty. We as a bank can accept to be classified as an Eligible Counterparty in respect of all related business that we conduct with you until we notify you otherwise in writing.

3. Consent to execution of orders outside a Trading Venue

We hereby consent to the execution of our orders outside a Regulated Market, Multilateral Trading Facility or Organised Trading Facility as defined in MiFID II.

4. Consent to non-publication of limit orders

We hereby expressly instruct you that you shall not be obliged to immediately make public any of our Limit Orders in respect of shares admitted to trading on a Regulated Market or traded on a Trading Venue that cannot be immediately executed under prevailing market conditions (unless instructed otherwise by us in writing in respect of a particular Limit Order and you accept that Limit Order).

5. Provision of Information

We hereby consent to the provision of information via email, PDF document or by any

other durable medium that is not paper. We also consent to the provision of information which is not personally addressed to us by means of such websites as we are notified of by you.

6. Research

We are not located in the EU and are not subject to regulation (MIFID II, FCA regulations, etc.). Therefore, we are not obligated to pay for Research.

If you have any question, please contact [contact detail] and we will get back to you as soon as possible.